

## UNITED STATES NUCLEAR REGULATORY COMMISSION WASHINGTON, D. C. 20555

H1/19 #1

APR 1 9 1984

MEMORANDUM FOR:

Hugh L. Thompson, Jr., Director

Division of Human Factors Safety

THRU:

Harold R. Booher, Chief

Licensee Qualifications Branch Division of Human Factors Safety

FROM:

Lawrence P. Crocker, Section Leader

Management Technology Section Licensee Qualifications Branch Division of Human Factors Safey

SUBJECT:

EVALUATION OF DIABLO CANYON SHIFT ADVISORS

Attached is the evaluation of the Shift Advisors at Diablo Canyon, prepared by the evaluation team, based upon the team's visit to the plant on April 10-11, 1984.

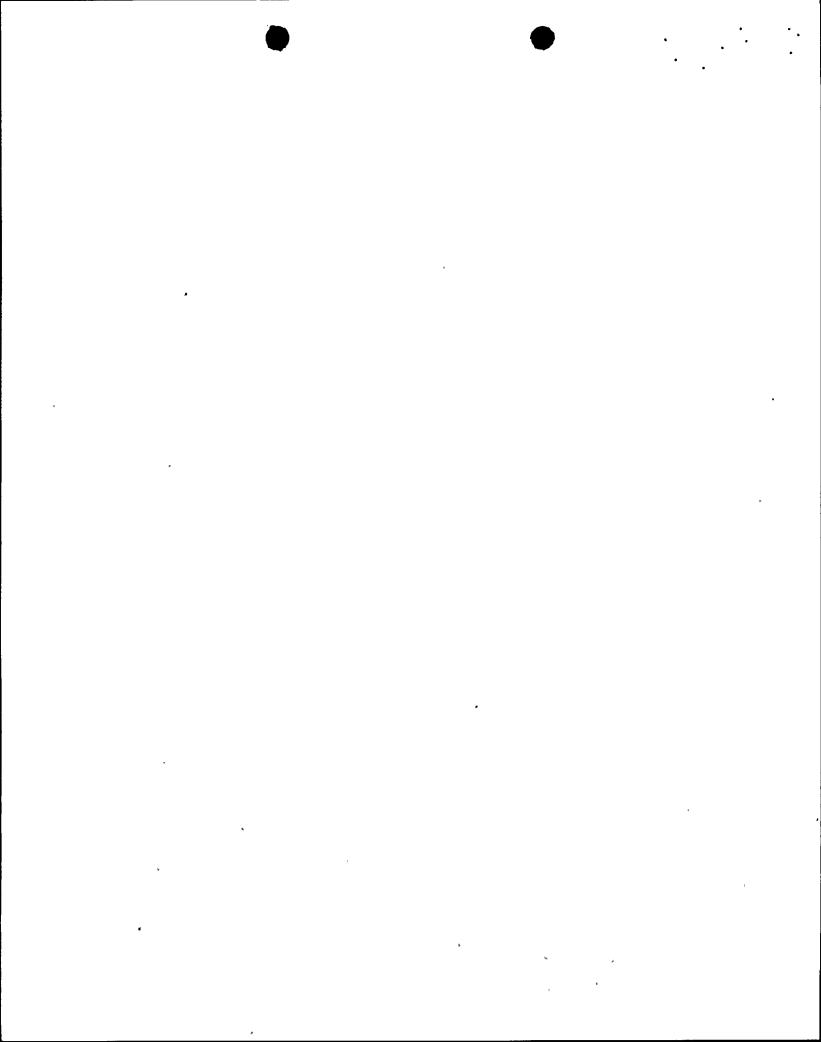
Lawrence P. Crocker, Section Leader Management Technology Section

Licensee Qualifications Branch Division of Human Factors Safety

Enclosures: As stated

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Enclosure 2



### EVALUATION OF SHIFT ADVISORS DIABLO CANYON POWER PLANT

#### Introduction

On April 10-11, 1984, an evaluation team composed of three individuals from the Licensee Qualifications Branch, Division of Human Factors Safety, NRR, and a licensing examiner from Region V visited the Diablo Canyon Power Plant. The makeup of the team is shown in Enclosure 1.

The purpose of the visit was to evaluate the capabilities of the Diablo Canyon Shift Advisors to provide adequate advice to the operating shifts. The purpose and the plan for the team visit are described in Enclosure 2. The team members reviewed the procedure developed by the licensee which describes the duties and responsibilities of the Shift Advisors; reviewed the resumes of the Shift Advisors to determine whether they meet the industry criteria for Shift Advisors; reviewed the training program provided to the Shift Advisors; examined the weekly quizzes and the final written examination administered to the Shift Advisors; reviewed the available data relating to the oral examination administered to the Shift Advisors and interviewed three members of the station staff who had administered portions of the oral examination; interviewed eight of the nine Shift Advisors and Shift Advisor candidates; interviewed selected members of the plant staff regarding their knowledge of Shift Advisor duties and responsibilities; and interviewed the Senior Resident Inspector to determine his views regarding the Shift Advisor program.

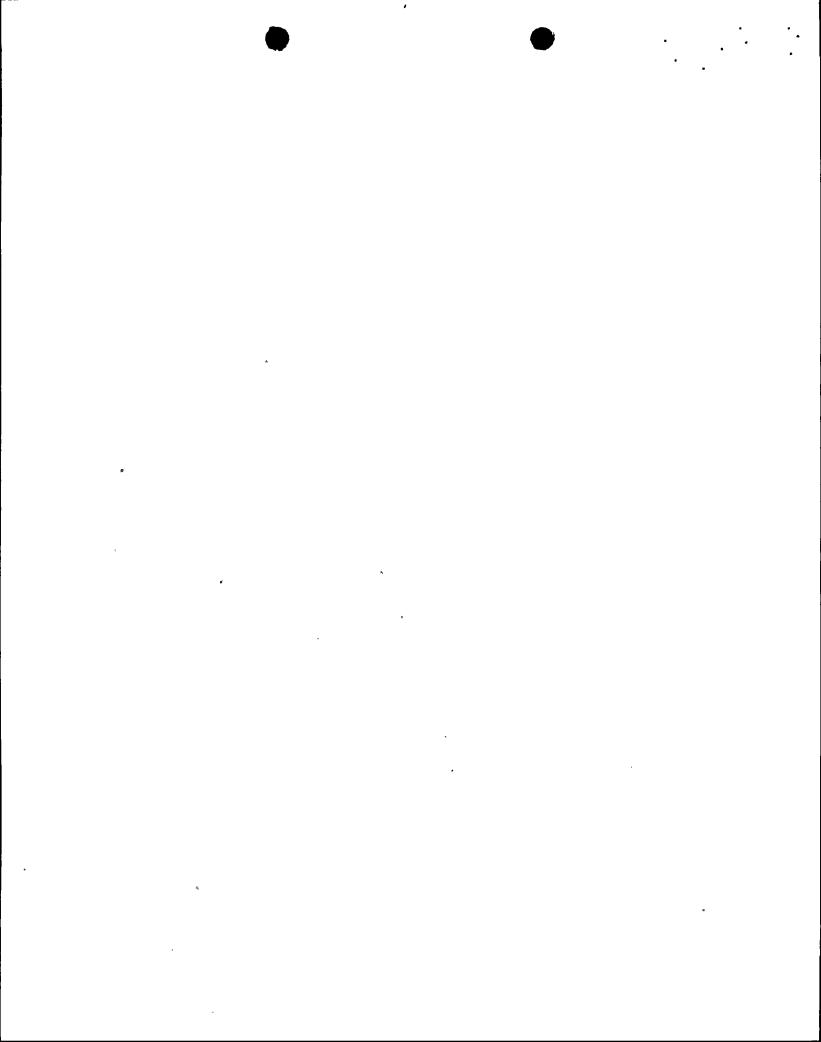


#### Program Status

At the time of the team visit, four Shift Advisors had completed the training program. Three were working with the plant operating shifts and the fourth was undergoing a week of training at the Zion simulator. Five Shift Advisor candidates, including one member of the plant staff, were in their third week of the four-week training program. The three individuals now serving as Shift Advisors are working 12-hour rotating shifts as contrasted to the licensee's five-shift rotation scheme for the operating shifts. The stated intent of the licensee is to assign an advisor to each operating shift such that the Shift Advisor will rotate as a shift member and will participate in periodic requalification training with the shift crew. The team endorses this intent of the licensee as highly desirable.

#### Shift Advisor Procedure

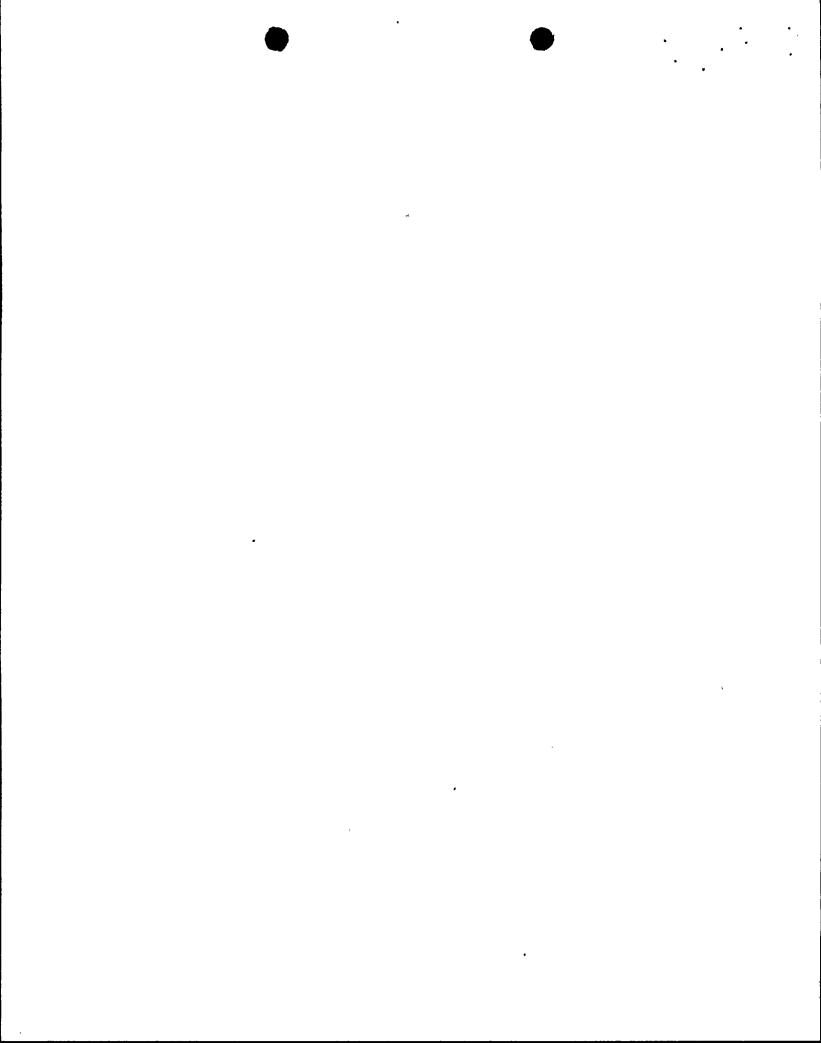
The procedure governing the duties and responsibilities of the Shift Advisor is TP TO-8401, "Responsibilities and Duties of the Shift Advisor." Revision 1 of this procedure, dated April 5, 1984, currently is in effect. A copy is enclosed (Enclosure 3). While on shift duty, the Shift Advisor reports to the Shift Foreman (Shift Supervisor). When not on shift duty, Shift Advisors report to the Senior Power Production Engineer (Operations), who also directs the activities of the Shift Technical Advisors. The evaluation team considers these reporting arrangements to be acceptable. Our review of the



procedure found that it adequately describes the duties and responsibilities of the Shift Advisors.

#### Shift Advisor Qualifications

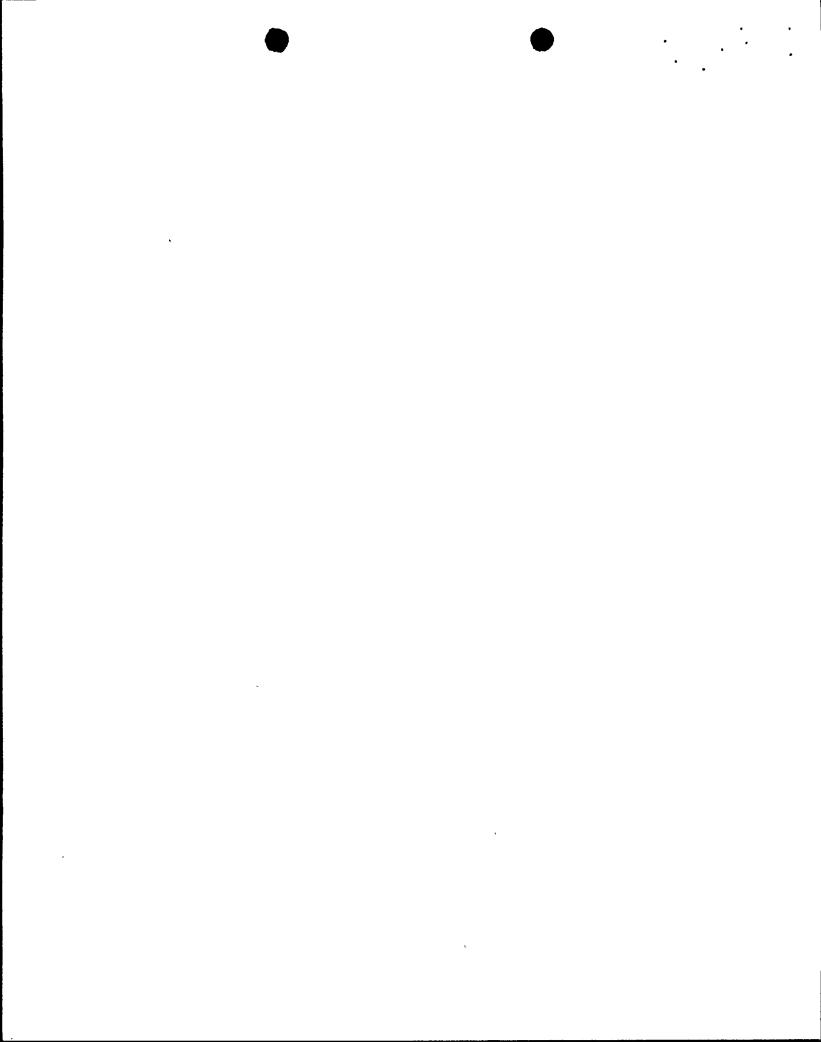
The evaluation team reviewed the resumes of the Shift Advisors. A summary of the experience of the Advisors is included as Enclosure 4. The top line of the table shown in Enclosure 4 is the minimum experience proposed by the industry for Shift Advisors. Succeeding lines of the table show the experience of the nine Shift Advisors (numbers 1 through 4) and Shift Advisor candidates (numbers 5 through 9) at Diablo Canyon. As can be seen from the table, all of the advisors have considerably more power plant and nuclear plant experience than the minimums proposed by the industry. In addition, three of the advisors have previous Navy experience and two hold degrees in engineering. Each of the advisors except number 4 has had previous licensed operating experience at the RO or SRO level, or both, at commercial nuclear plants using a Westinghouse nuclear steam supply system. The licensed experience of Advisor #4 was as an RO on a plant using a Combustion Engineering nuclear steam supply system. This advisor was the one who was attending simulator training at the Zion simulator at the time of the evaluation team visit. Upon completion of this simulator training, we consider that he should be adequately qualified to serve as a Shift Advisor at Diablo Canyon.



During the course of our review of the Shift Advisor qualifications, we noted that no provisions had been made to evaluate the medical status of candidates, although we found that three of the four present Shift Advisors had received a medical evaluation within the last year in connection with their NRC license renewal. To our knowledge, the subject of medical qualifications has not been raised before. However, we believe that the advisors should meet the same medical criteria as the licensed operators. We discussed this matter with the licensee and it was agreed that PG&E would arrange for medical examinations for the advisors.

#### Training Program

The training program administered to the Shift Advisor candidates consists of a four-week course covering plant procedures, technical specifications and plant safety-related systems. The training modules are drawn from the regular plant training program and each module is accompanied by a "Need-to-know" sheet which describes the learning objectives for that portion of the training. The evaluation team reviewed the training program and concluded that it is adequate to provide the Shift Advisors with the knowledge needed to successfully perform advisor duties at the Diablo Canyon plant.

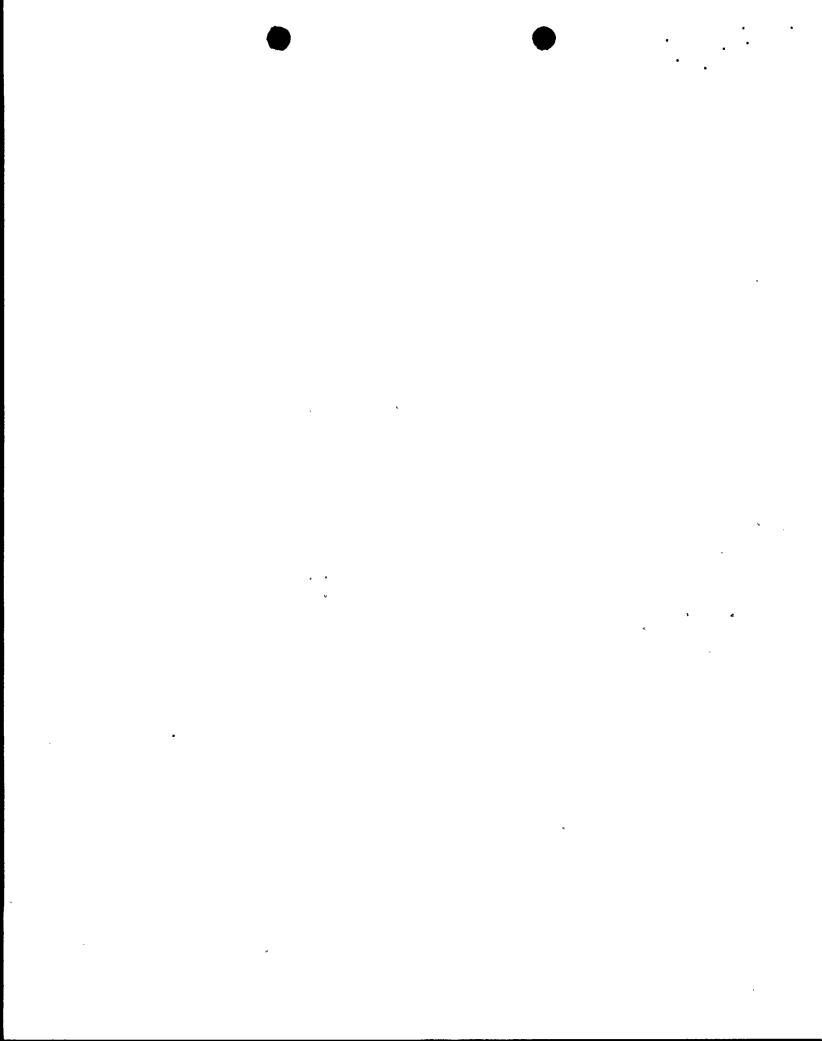


#### Quizzes and Written Examination

Quizzes are administered at the end of the second and third weeks of the training program and a final written examination is administered at the end of the fourth week. The evaluation team reviewed the quizzes and the final examination and the results of these to determine their adequacy. The team concluded that the final written examination, which contained a ratio of 60/40% of RO-SRO/SRO level questions, provided an adequate measure of the student's knowledge of subject matter presented during the training.

#### Oral Examination

In addition to the final, written examination of the Shift Advisors, each candidate also undergoes an oral examination conducted by a board of three members of the plant training and operations staffs, all of whom have SRO licenses at the plant. Based upon interviews with three of the oral examiners and review the available written records, the evaluation team concluded that the oral examination provided a valid check of the advisor's knowledge at the SRO level as related to the advisor's duties and responsibilities. The makeup of the oral examination boards varied from student to student. A total of five members of the plant staff conducted the oral examinations for the first four Shift Advisors.

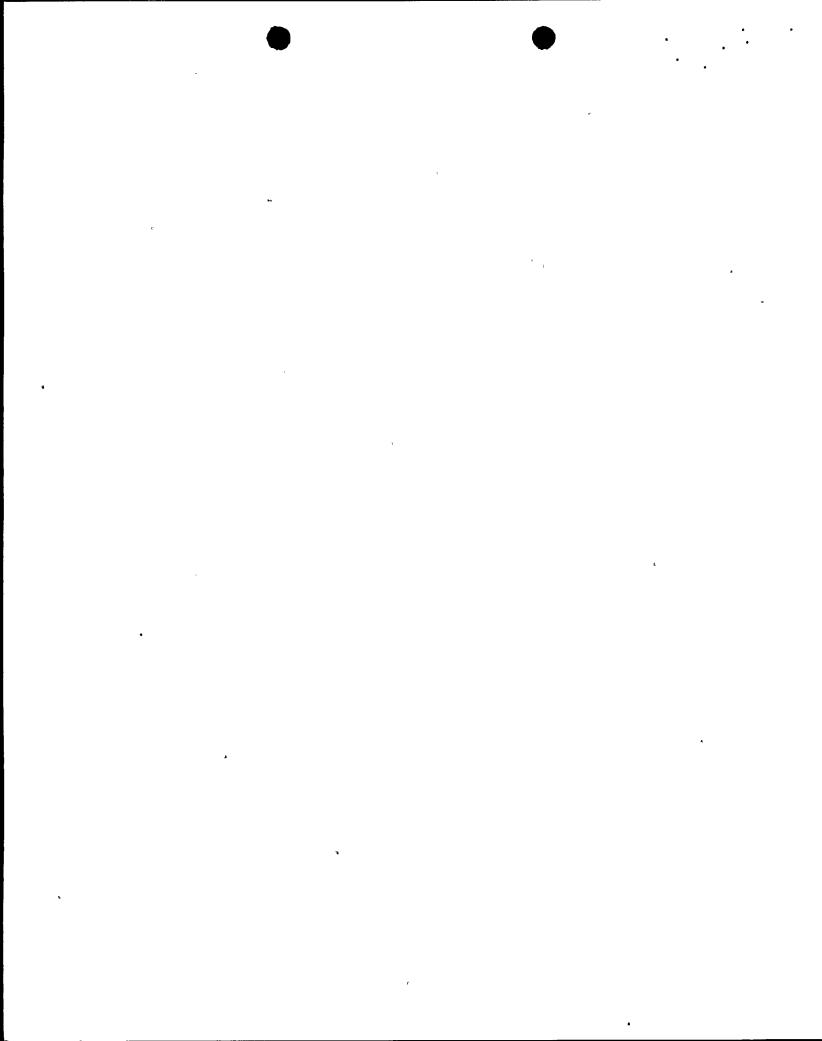


#### Interview of Shift Advisors

The evaluation team interviewed each of the Shift Advisors now at the plant and on shift. We also conducted a group interview of the five Shift Advisor candidates who were undergoing the training program. (As mentioned earlier, one of the Shift Advisors who had completed the plant training was away from the plant attending simulator training during the period of the evaluation team's visit.) Each of the three Shift Advisors interviewed understood his duties and responsibilities, felt that he had received adequate plant-specific training, and appeared confident in his role as Shift Advisor. All reported good working relationships with the operating shifts; these are expected to improve further when the advisors are assigned to specific shifts. Each of the advisors now on shift as well as the five candidates in the training program reported favorably on the training. While the training was intensive, it was adequate. The Advisors also stated that the instructors who conducted the course are very good. Several advisors mentioned that the training was among the best they had ever received.

#### Interview of Selected Shift Crew Personnel

Several members of the evaluation team interviewed selected shift personnel, ranging from a Shift Foreman to a Control Operator. Formal training on the role of the Shift Advisors has not been presented to the shift crews, but the crews are being briefed by the Shift Foremen on the roles of the advisors and



each shift member is in the process of acknowledging his/her understanding of the advisor roles. All shift members had not received the briefing since all crews had not been on duty since the advisors started working with the shifts.

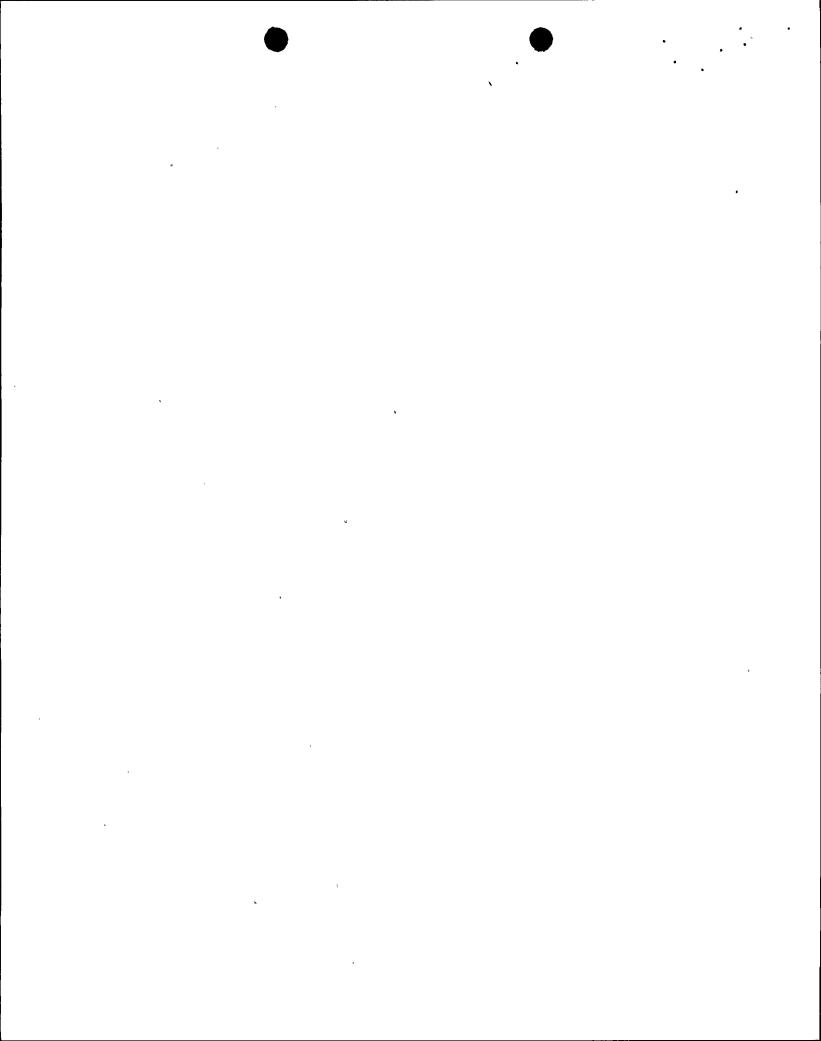
#### Interview of Senior Resident Inspector

The Senior Resident Inspector was interviewed by the evaluation team to determine his knowledge regarding the Shift Advisors. He was aware of the advisors' role and knew they were standing duty with the operating shifts. However, he had not had an opportunity to properly evaluate the Shift Advisor activities.

#### Conclusions

The evaluation team concluded that:

- 1. All Shift Advisors and Shift Advisor candidates more than meet the minimum qualifications recommended by the industry.
- 2. Procedure TP TO-8401 adequately describes the duties and responsibilities of the Shift Advisors.
- 3. The training program, including the quizzes and examinations, administered to the Shift Advisor candidates is adequate to assure that the advisors will have sufficient knowledge of the Diablo Canyon



procedures, technical specifications and safety-related plant systems to adequately perform their duties. Further, the training program adequately covers the duties and responsibilities, and the limitations, of the Shift Advisors.

- 4. The Shift Advisors are comfortable with and have a positive attitude toward their duties. They understand how they are to interface with the operating crew. Further, the operating crews know what to expect from the Shift Advisors.
- 5. Subject to implementation of the recommendations noted below, the evaluation team concludes that the Shift Advisors at Diablo Canyon are adequately qualified and trained to perform their assigned duties.

#### Recommendations

- As soon as sufficient Shift Advisors are available, they should be assigned to specific shifts such that they can develop as part of the shift team, rotating with and undergoing requalification training with the shift crew. The licensee stated to the evaluation team that this was the intent.
- 2. A formal evaluation system should be established to assure continuing assessment of the Shift Advisor performance. Provisions also should be made to obtain the views of the Shift Advisors on a periodic, formal basis.
- The Shift Advisors should meet the same medical criteria as required for licensed plant operators. The licensee has agreed with this recommendation.

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#### **EVALUATION TEAM**

Lawrence P. Crocker - Team Leader,

Section Leader, Management Technology Section

Licensee Qualifications Branch

Division of Human Factors Safety, NRR

Joseph J. Buzy - Senior Reactor Engineer (Training and Assessment),

Personnel Qualifications Section

Licensee Qualifications Branch

Division of Human Factors Safety, NRR

Louis S. Bender - Training and Assessment Specialist

Personnel Qualifications Section

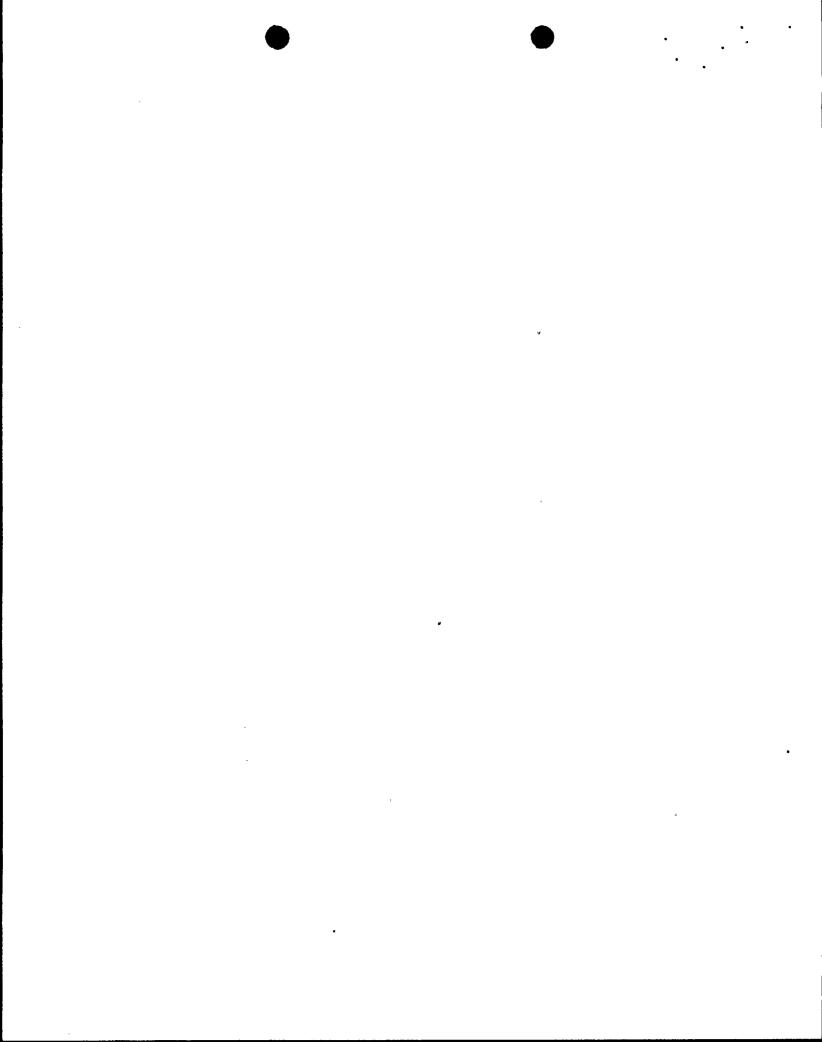
Licensee Qualifications Branch

Division of Human Factors Safety, NRR

John O. Elin - Licensing Examiner

Operator Licensing Section

Region V



#### TRIP TO DIABLO CANYON

Purpose: To evaluate the capabilities of the Diablo Canyon shift advisors to provide adequate advice to the operating shifts.

Plan:

- Review the procedures developed by the licensee which describe the duties of the advisors and the working relationships between the advisors and the operating shift personnel.
- 2. Examine and evaluate the adequacy of the training program provided to the shift advisors.
- 3. Review the written examination administered to the shift advisors and the results.
- 4. Review shift advisor oral examination data and results and discuss with the examiners the overall performance of the shift advisors on the examination.
- 5. Interview the shift advisors to obtain a subjective evaluation of their capabilities and attitudes and to determine that they understand their duties and their relationship to the operating crews.



- 6. Examine the training program given to the shift crews on the role of the shift advisors and interview selected operating shift personnel to ascertain that operators understand the role of the shift advisors.
- 7. Obtain observations as available from the Senior Resident Inspector regarding the current performance of the shift advisors and how they fit in with the operating crews.
- 8. Obtain from the Senior Resident Inspector his evaluation of the current performance of the operating crews.



PG#E

#### Pacific Gas and Electric Company

NUMBER TP TO-8401

REVISION

4/5/84

PAGE

DATE

1 OF 3

-11



DEPARTMENT OF NUCLEAR PLANT OPERATIONS

DIABLO CANYON POWER PLANT UNIT NO(S) 1 AND 2

TEMPORARY PROCEDURE

RESPONSIBILITIES AND DUTIES OF THE SHIFT ADVISOR

TITLE:

APPROVED:	 	······	
	PLANT	MANAGER	DATE

#### **SCOPE**

As part of our operating license (Item 2.c.8.c), PGandE will augment the plant staff by providing on each shift an individual experienced in comparable size PWR operation. In addition to this, PGandE will meet the requirements developed by the NTOL utility working groups position on shift operating experience. This procedure establishes the primary responsibilities, duties and working relationships of this individual (henceforth referred to as Shift Advisor). This procedure will be rescinded upon completion of the commitment. This procedure and changes thereto requires PSRC approval.

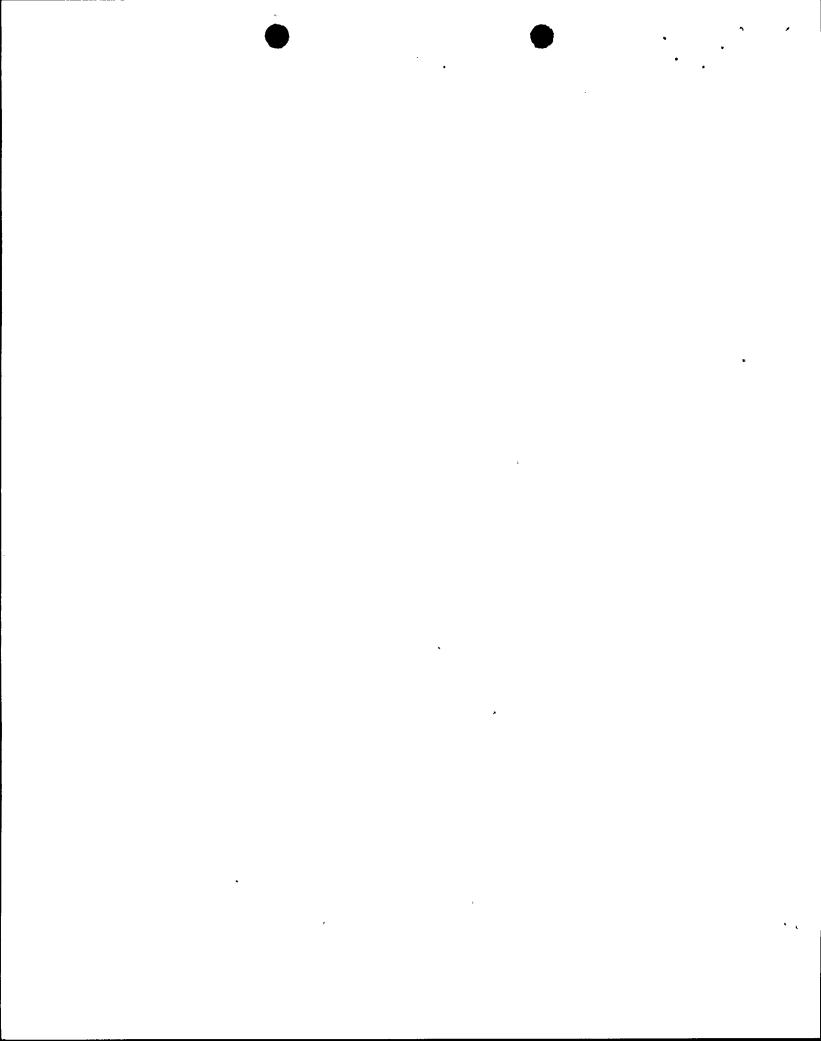
#### **PROCEDURE**

#### 1. Responsibilities:-----

- a. To provide advisory support to the operating shift crew. The Shift Advisor will review and assess the impact of significant shift activities that are scheduled or in progress and will keep control room personnel appraised of any potential problem areas. The Shift Advisor should be involved in significant shift operating decisions and recommend appropriate actions (including plant shutdowns).
- To provide technical and administrative support to the Shift
   Technical-Advisor, Shift Foreman, Senior Control Operator and the Operations Manager.

#### 2. <u>Limitations</u>:

- a. Responsibilities will <u>not</u> include direct manipulation of equipment.
- b. Responsibilities will also <u>not</u> include supervision of licensed operators in assignments which require an operator's license.



NUMBER TP TO-8401 REVISION 1 DATE 4/5/84 PAGE 2 OF 3

#

TITLE: RESPONSIBILITIES AND DUTIES OF THE SHIFT ADVISOR

#### 3. Duties:

The Shift Advisor duties will include, the following tasks:

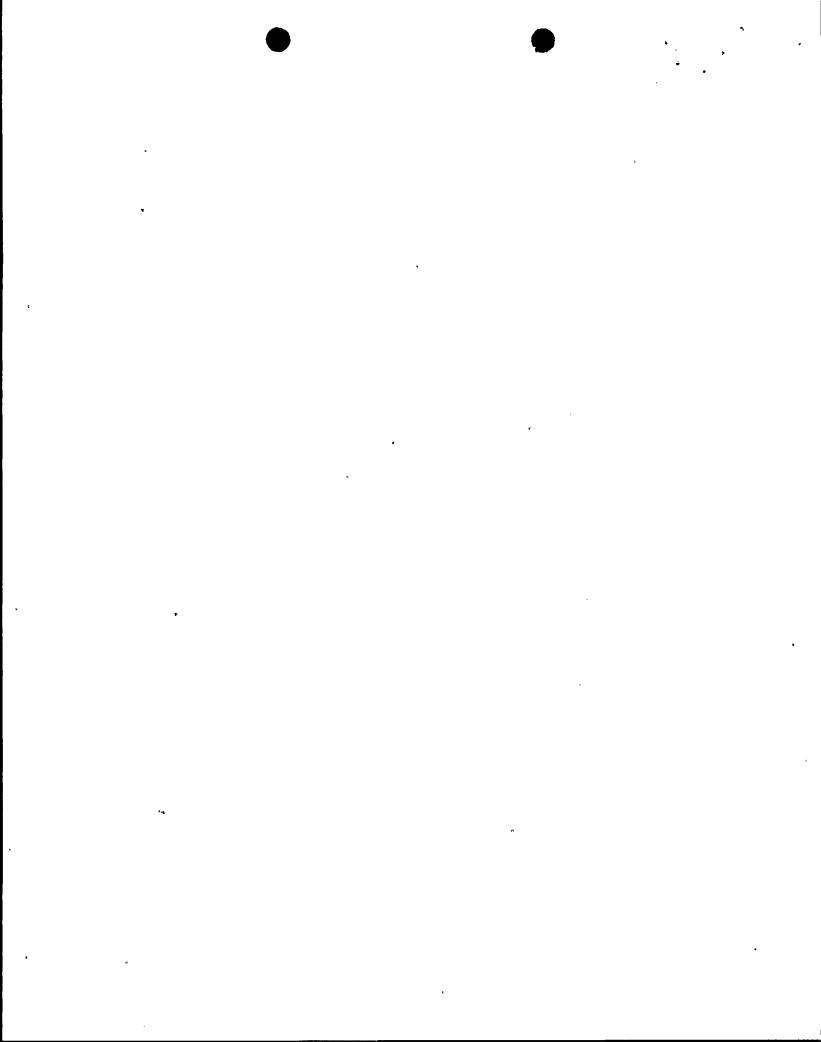
- Review and assess the impact of significant shift activities.
- b. Review startup procedures planned for the shift.
- c. Research any potential problems involving Technical Specifications and provide input based upon his experience.

The Shift Advisor duties may include the following tasks:

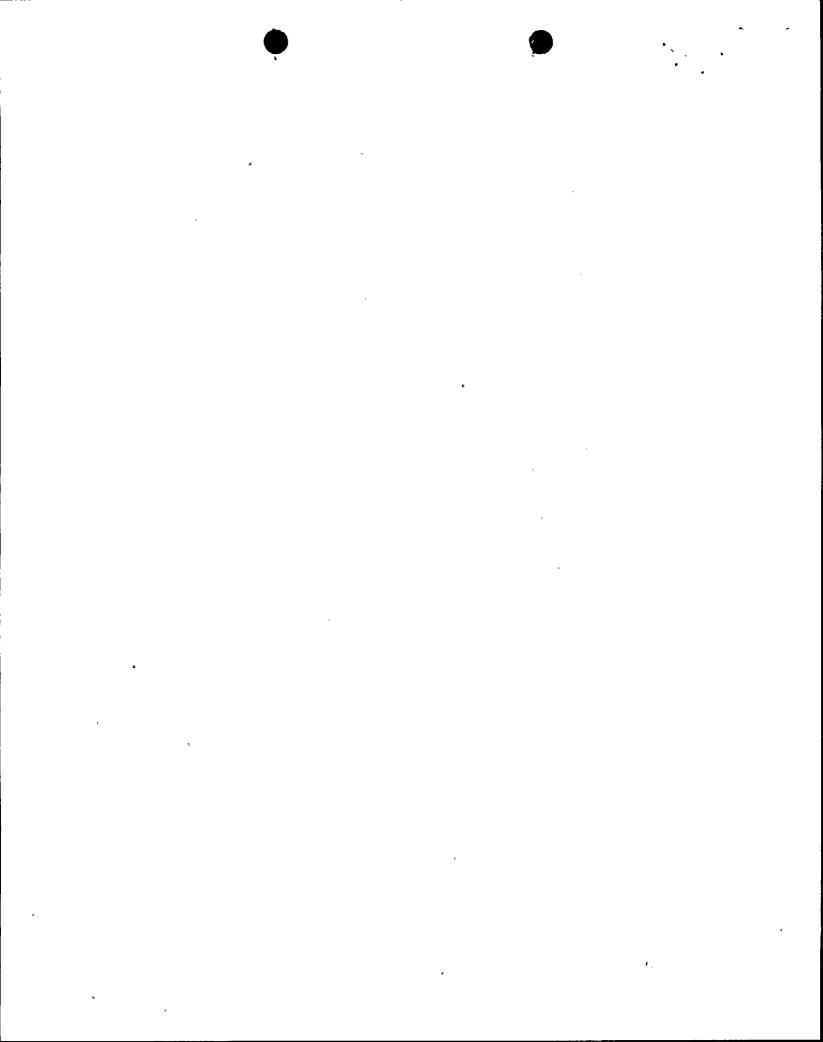
- a. Review shift turnover checklists.
- b. Review operator logs.
- c. Review equipment status in the Control Room.
- d. Assist in review of plant problem reports.
- e. Assist in the preparation of required reports.
- f. Review and recommend revisions to Operating and Emergency Procedures.
- g. Participate in shift turnover and shift briefings.
- h. Other tasks as assigned by the Shift Foreman.

#### 4. Working Relationships

- a. The Shift Advisor assigned to a shift will report directly to the Shift Foreman during normal operation and plant testing, and to the Shift Technical Advisor (STA) during any plant emergency. The Shift Advisor will also work closely with all operations personnel as necessary to perform his duties.
- b. Shift Advisors not assigned to shift will report directly to the Senior Power Production Engineer (Operations).



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# SHIFT ADVISORS DIABLO CANYON

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#5	_	-	16 yrs	13 yrs	96 mos	48 mos	San Onofre 1 (West.)	
#6	-	-	19 yrs	14 yrs	24 mos	15 mos	Beaver Valley 1 (We	
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Docket Felen (50-323)

JUN 2 1 1976

Mr. Paul Morton Room 642 28 Civic Center Plaza Santa Ana, California 92701

Dear Mr. Morton:

At the request of Perry Amimoto, I am forwarding copies of various reports relating to the Diablo Canyon Nuclear Power Station.

Enclosed is a copy of the Commission's Final Environmental Statement, with Addendum, and our Safety Evaluation Report with Supplements 1-4. We are in the process of locating other related documents and they will be sent to you in the near future.

Sincerely,

J. C. Stepp, Chief

Geology and Seismology Branch Division of Site Safety and Environmental Analysis

Enclosures: As stated

DIST:

DOCKET FILES (50-275,/50-373)

NRR Reading

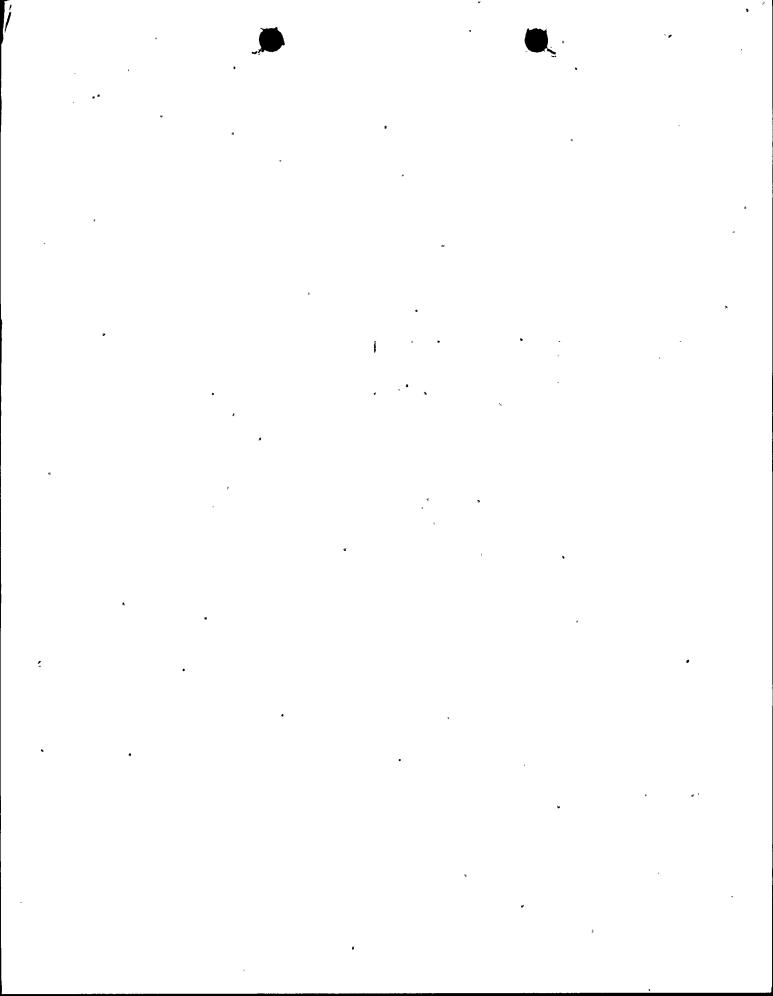
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#### January 28, 1974

Docket No. 50-275/323 Mr. John M. Bird US Army Eng. Dist. Los Angeles Corps of Engrs. - 300 N. Los Angeles Los Angeles, California 90012

> Subject: GEOLOGIC AND STABILITY INFORMATION IN AMENDMENT NO. 2 TO DIABLO CANYON - FINAL SAFETY ANALYSIS REPORT

1	Tev:	following documents concerning our review of the subject facility  ***********************************	your	use in
į v		Notice of Receipt of Application.		
		Draft Environmental Statement, dated		
		Final Environmental Statement, dated		¥
		Safety Evaluation, or Supplement No, dated		
		Notice of Hearing on Application for Construction Permit.		
	,	Notice of Consideration of Issuance of Facility Operating License.		•
		Application and Safety Analysis Report, Vol		_
	X	Amendment No. 2 to Application/SAR, dated 1/15/74	<b></b> •	
		Construction Permit No. CPPR, dated		
		Facility Operating License No. DPR, dated		
		Technical Specifications, or Change No, dated		
		Other:		_
	x¥££	Directorate of Licensing Site Analysis Branch OSMES* William P. Gammill,	Ghief	l. for
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Dr. Bob Whalen, Waterways Experiment Station, Corps of Engineers Li-San Hwang, Tetra Tech

Martin Vitousek, University of Hawaii

Hsiang Wang, University of Delaware

N. R. Wallace, Bechtel Inc.

Orville T. Magoon, Corps of Engineers

R. Weggel, University of California

G. Miller, National Oceanic & Atmospheric Administration

B. Wilson, Consultant

George Carayannis, U.S. Army Coastal Engineering Research Center

AEC STAFF BACKGROUND MATERIAL ON TSUNAMIS

Enclosed for your use are background material developed by the staff and their consultants relating to tsunamis for the San Onofre and Diablo Canyon sites. This information is in a form of excerpts from Safety Evaluation Reports on the subject nuclear power plant sites and a copy of staff summary analysis as follows:

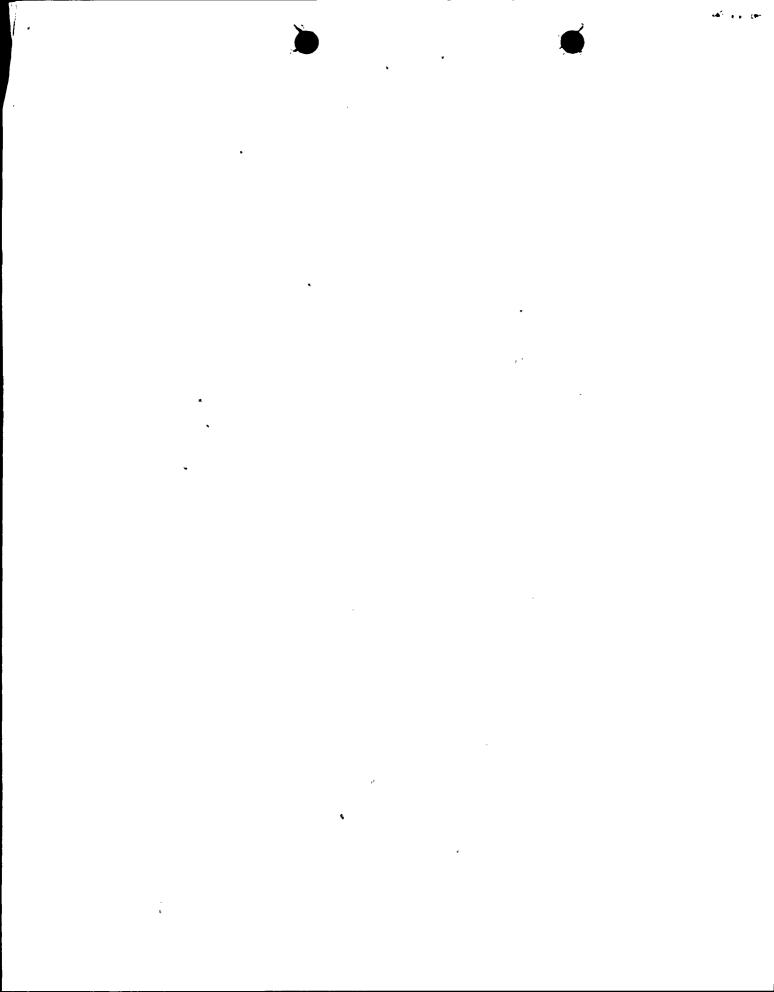
- (1) Safety Evaluation of the Sar Onofre Nuclear Generating Station Units 2 and 3; dated October 1972.
- (2) Staff Summary on Local Tsunami Potential, San Onofre Nuclear Generating Station Units 2 and 3; dated June 1973.
- (3) Summary Hazards Analysis of the San Onofre Nuclear Generating Station Unit 1; dated November 1963.
- (4) Safety Evaluation for the Diablo Canyon Nuclear Power Plant Unit 1: dated January 1968.
- (5) Safety Evaluation of the Diablo Canyon Nuclear Power Plant Unit 2: dated November 1969.

L. G. Hulman, Senior Hydraulic Engineer Site Analysis Branch Directorate of Licensing

Enclosures (5):

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A. W. Dromerick

Docket No. 50-323

Dr. Nathan M. Newmark
1114 Civil Engineering Building
University of Illinois
Urbana, Illinois 61801

Dear Dr. Newmark:

For your information, I am enclosing two copies of a Safety Evaluation prepared by the Division of Reactor Licensing concerning Pacific Gas and Electric Company's application for a construction permit for Unit No. 2 at its Diablo Canyon site, in San Luis Obispo County, California.

The comments furnished by Newmark and Hall are included as Appendix F.

Sincerely yours,

Original signed by Donald F. Knath

for Roger S. Boyd, Assistant Director for Reactor Projects
Division of Reactor Licensing

Enclosure: Safety Evaluation

OFFICE DRL/RPB-5 DRL/RPB-5

SURNAME DATE DRL/RPB-5

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P. Howe

Dr. Nathan M. Newmark
1114 Civil Engineering Building
University of Illinois
Urbana, Illinois 61801

Dear Dr. Newmark:

This supplements our previous correspondence to you concerning the proposed Diablo Canyon Unit No. 2 reactor. Two copies of Amendment No. 6, dated September 25, 1969, to the application submitted by Pacific Gas and Electric Company are enclosed for your use. This amendment consists of revised pages for incorporation in the Preliminary Safety Analysis Report and supplementary meteorological data on the site for the proposed plant.

# Sincerely yours,

Original signed by Donald F. Knuth

Roger S. Boyd, Assistant Director for Reactor Projects
Division of Reactor Licensing

Enclosure: Amendment No. 6, dtd 9-25-69, for Diablo Canyon

Identical copies sent to: White

White Schneider Waldron Pack

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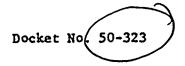
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September 12, 1969

Dr. Nathan M. Newmark 1114 Civil Engineering Building University of Illinois Urbana, Illinois 61801

Dear Dr. Newmark:

This supplements our previous correspondence to you concerning the proposed Diablo Canyon Unit 2.

Two copies of Amendment No. 5, dated September 8, 1969, to the application submitted by Pacific Gas and Electric Company are enclosed for your use. This amendment consists of revised pages for incorporation in the Preliminary Safety Analysis Report.and responds in part to certain questions raised by us in our July maeting with Pacific Gas and Electric.

Sincerely yours,

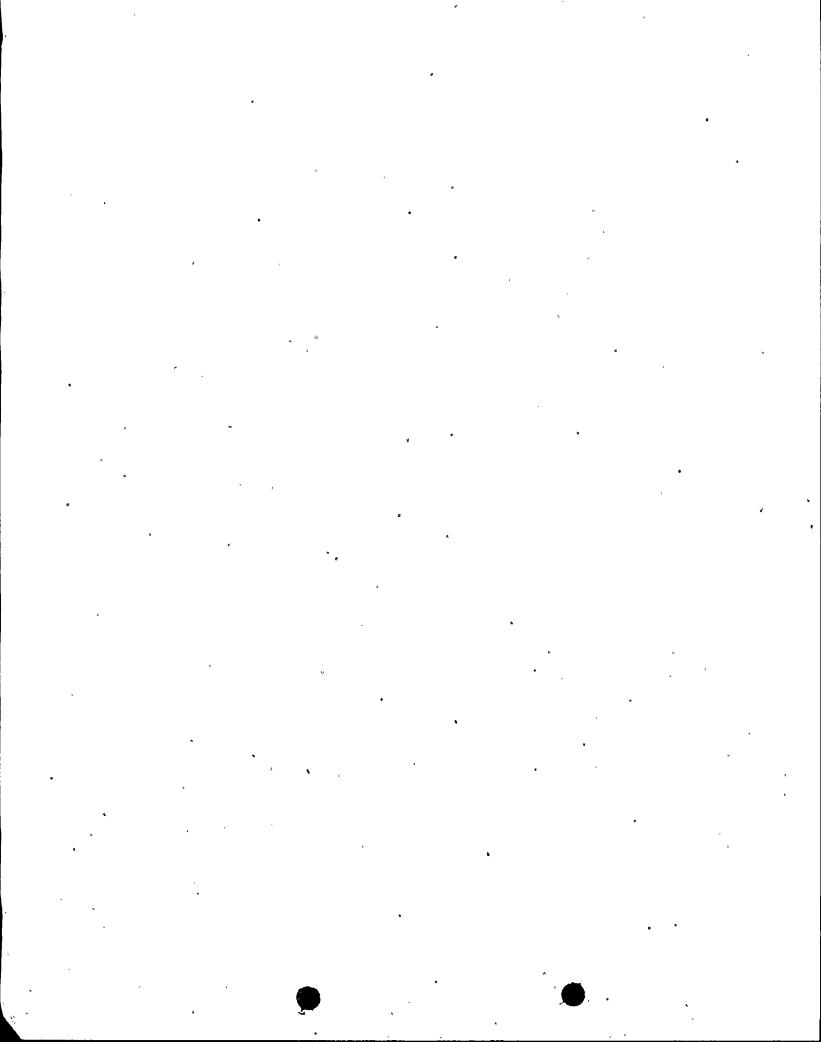
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Roger S. Boyd, Assistant Director for Reactor Projects Division of Reactor Licensing

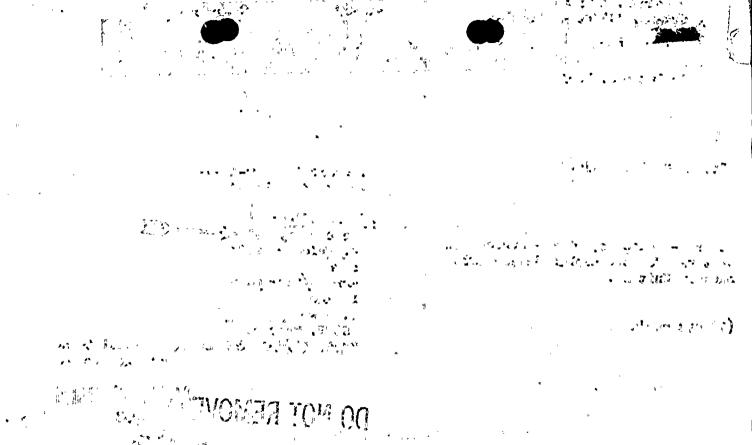
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Amendment No. 5, dtd 9-8-69
Diablo Canyon Unit 2

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NATHAN M. NEWMARK CONSULTING ENGINEERING SERVICES

1114 CIVIL ENGINEERING BUILDING URBANA, ILLINOIS 61801

11 September 1969

Dr. Peter A. Morris, Director Division of Reactor Licensing U. S. Atomic Energy Commission Washington, D.C. 20545

Re: Contract No. AT(49-5)-2667

Nuclear Unit 2, Diablo Canyon Site Pacific Gas and Electric Company

AEC Docket 50-323

Dear Dr. Morris: .

Drs. W. J. Hall, A. J. Hendron, and I have reviewed the PSAR for Diablo Canyon Site Nuclear Unit No. 2 and are submitting herewith our final report concerning this unit. The report has been prepared as a supplemental report to our earlier report of December 1967 on Diablo Canyon Site Nuclear Unit 1 (AEC Docket 50-275). The two units are essentially duplicates.

Respectfully submitted,

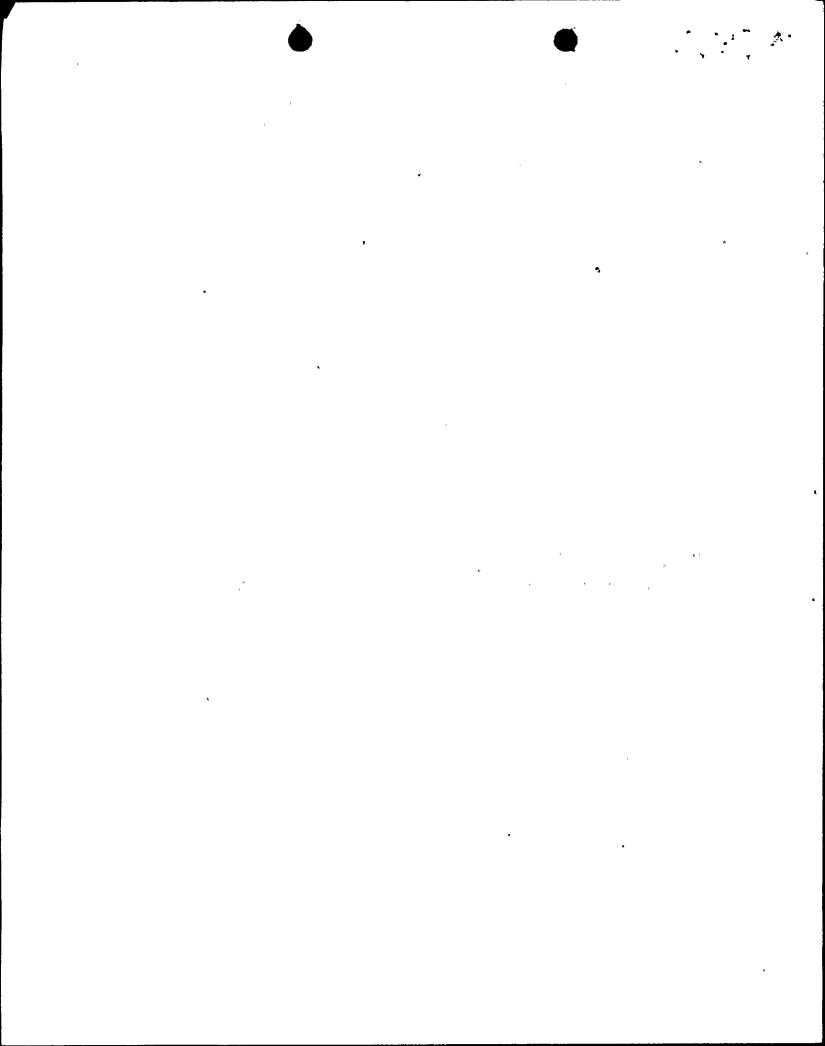
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N. M. Newmark

bjw Enclosure

cc: W. J. Hall

A. J. Hendron



NATHAN M. NEWMARK CONSULTING ENGINEERING SERVICES

1114 CIVIL ENGINEERING BUILDING URBANA, ILLINOIS 61501

REPORT TO AEC REGULATORY STAFF

ADEQUACY OF THE STRUCTURAL CRITERIA FOR

THE DIABLO CANYON SITE NUCLEAR UNIT 2

Pacific Gas and Electric Company
(AEC Docket 50-323)

by

N. M. Newmark

W. J. Hall

A. J. Hendron, Jr.

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# ADEQUACY OF THE STRUCTURAL CRITERIA FOR THE DIABLO CANYON SITE NUCLEAR UNIT 2

by

N. M. Nevmark, W. J. Hall, A. J. Hendron, Jr.

## INTRODUCTION

This report concerns the adequacy of the containment structures and components, reactor piping and reactor internals, for the Diablo Canyon Site Nuclear Unit 2, for which application for a construction permit and operating license has been made to the U. S. Atomic Energy Commission (Docket No. 50-323) by the Pacific Gas and Electric Company. Diablo Canyon Site Nuclear Unit 2 is essentially a duplicate of Nuclear Unit 1. Accordingly, this report is a supplement to our earlier report on Diablo Canyon Site Nuclear Unit 1 (Ref. 1).

It is to be noted that all of the comments presented in our earlier report (Reff 1) are also applicable to this particular unit of the facility. However, in addition to the comments made in the earlier report we wish to offer the following.

# SUPPLEMENTAL COMMENTS ON ADEQUACY OF DESIGN

### Foundation Design .

The additional information concerning the site presented in the PSAR (Ref. 2) indicates that the site evaluation presented in the original PSAR for Diablo Canyon Site Unit No. 1 is still applicable. In general the foundation conditions appear adequate. The proposed slopes behind Reactor Units 1 and 2 are shown in Fig. 1-1 of the PSAR. As noted in Section 2.4.4 of the PSAR, extensive excavation will be undertaken for the reactor and turbine generator. It is further noted "..., but it is not expected that any difficulties with sliding ground will be created." No information as to slope stability



analyses of slopes that might present a hazard are presented in the PSAR. We believe that this matter requires review at some stage in the design process.

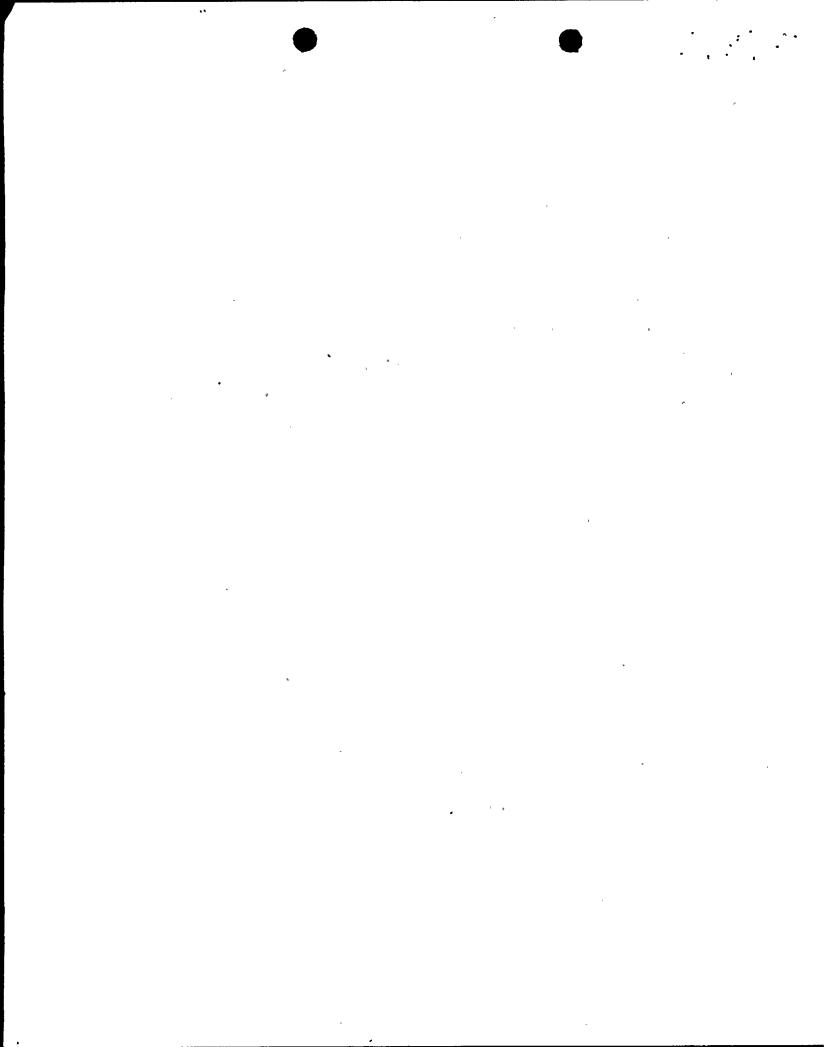
It will be noted also in Section 2.4.4 of the PSAR that several old land slides have been observed upstream of the switchyard, but these do not appear to us to represent a serious hazard.

#### Seismic Design

Our report on Diablo Canyon Unit No. 1 (Ref. 1) includes considerable comment concerning the method of dynamic analysis to be employed by the applicant. These comments are applicable to Diablo Canyon Nuclear Unit 2 in every respect. It is to be noted that Appendix D of the PSAR contains a report dated June 24, 1968 relating to the seismic design of the plant.

The Third Supplement for Diablo Canyon Unit No. 1 indicates that the revised spectra in this report are those used for Unit No. 1. The statement on page 2-24 of Amendment 2 for Unit 2 states that "In all respects the seismic, dynamic and structural criteria for Unit 1 and Unit 2 are identical." On this basis we concur in the approach adopted.

It is further noted in the PSAR on page-2-31a that "Class II Items" are to be designed using a static seismic horizontal coefficient of 0.20 with allowable stresses in accordance with applicable code requirements. We shall interpret the term "items" to mean structures and equipment. Furthermore, for lack of additional clarification we shall assume that the coefficient, noted is a static force coefficient that is to be employed for the design of Class II structures in accordance with the working stresses and force distributions in the Uniform Building Code. However, no Zone is indicated. Precisely how such a coefficient is applied to the design and/or procurement of equipment is not discussed and needs review at an early stage during design.



It is indicated on page 5-39 of the PSAR that the load transfer across large openings will be achieved by the use of a structural steel ring to which reinforcing bars are welded. Further details on the methods of analysis employed for the large penetration, base slab, and liner are presented on pages 5-31 et. seq. and the criteria outlined appear generally acceptable.

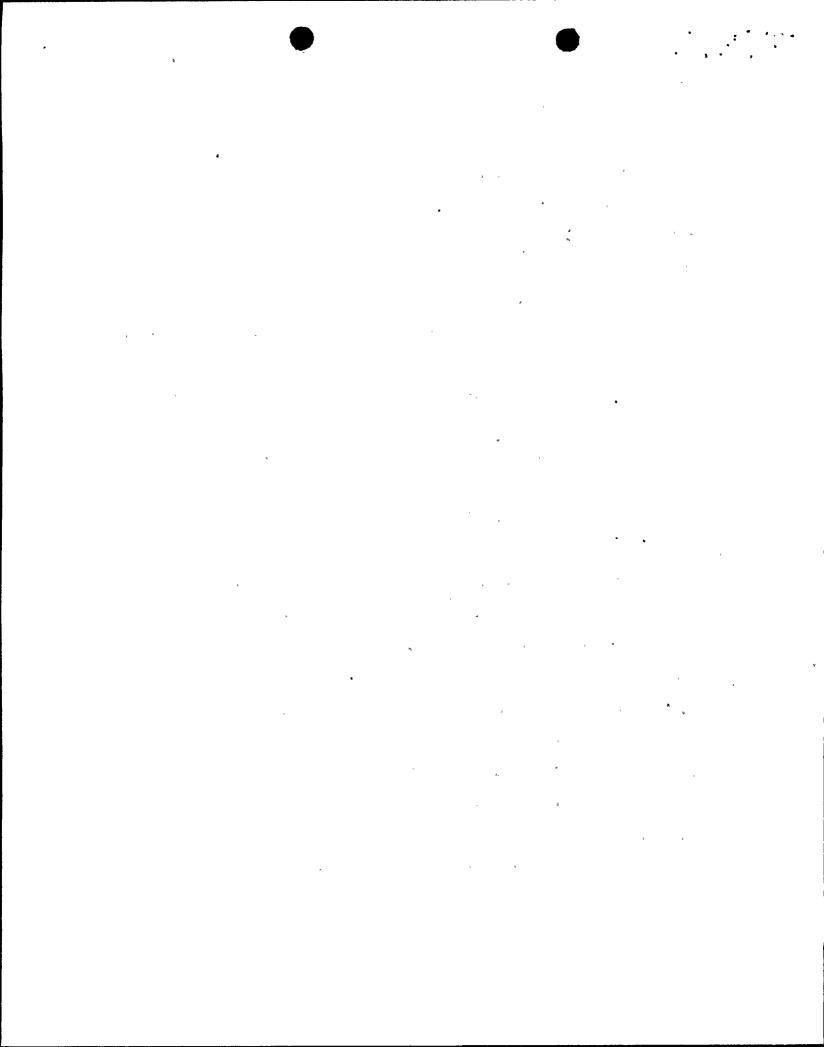
We have noted with interest and gratification that two sections in the PSAR are new in format compared to the PSAR on Diablo Canyon Unit No. 1, namely Appendix 3-C, "Seismic Effects on the Reactor" and Appendix 4-C, "Support Structures..." These are extremely helpful in explaining the applicant's intentions and procedures.

We suggest that further review of the adequacy of the seismic evaluation for Class I critical instrumentation and controls be undertaken at an early stage in the design process.

### CONCLUDING COMMENTS

In line with the design goal of providing structures and components with a reserve of strength and ductility, and on the basis of information presented by the applicant, we believe the design criteria outlined for the containment and other Class I components can provide an adequate margin of safety for seismic resistance. Our basis for arriving at this conclusion is presented partly in this report and partly in our earlier report on Diablo Canyon Unit 1 (Ref. 1). We have noted herein several items which we believe should receive further attention during the design and construction stages, including slope stability, design procedures and criteria for Class II structures and equipment, and especially for Class I instrumentation and controls.

n M. Newmark



## REFERENCES

- 1. 'Adequacy of the Structural Criteria for the Diablo Canyon Site Nuclear Plant, Pacific Gas and Electric Company," AEC Docket 50-275, Report to AEC Regulatory Staff, prepared by N. M. Newmark and W. J. Hall, December 1967.
- 2. "Preliminary Safety Analysis Report, Volumes 1, 2, and 3," Nuclear Unit 2, Diablo Canyon Site, Pacific Gas and Electric Company, 1968.



NATHAN M. NEWMARK CONSULTING ENGINEERING SERVICES

1114 CIVIL ENGINEERING BUILDING URBANA ILLINOIS 61801

11 September 1969

Dr. Peter A. Morris, Director Division of Reactor Licensing U. S. Atomic Energy Commission Washington, D.C. 20545

> Re: Contract No. AT(49-5)-2667 Nuclear Unit 2, Diablo Canyon Site Pacific Gas and Electric Company AEC Docket 50-323

Dear Dr. Morris:

Drs. W. J. Hall, A. J. Hendron, and I have reviewed the PSAR for Diablo Canyon Site Nuclear Unit No. 2 and are submitting herewith our final report concerning this unit. The report has been prepared as a supplemental report to our earlier report of December 1967 on Diablo Canyon Site Nuclear Unit 1 (AEC Docket 50-275). The two units are essentially duplicates.

Respectfully submitted,

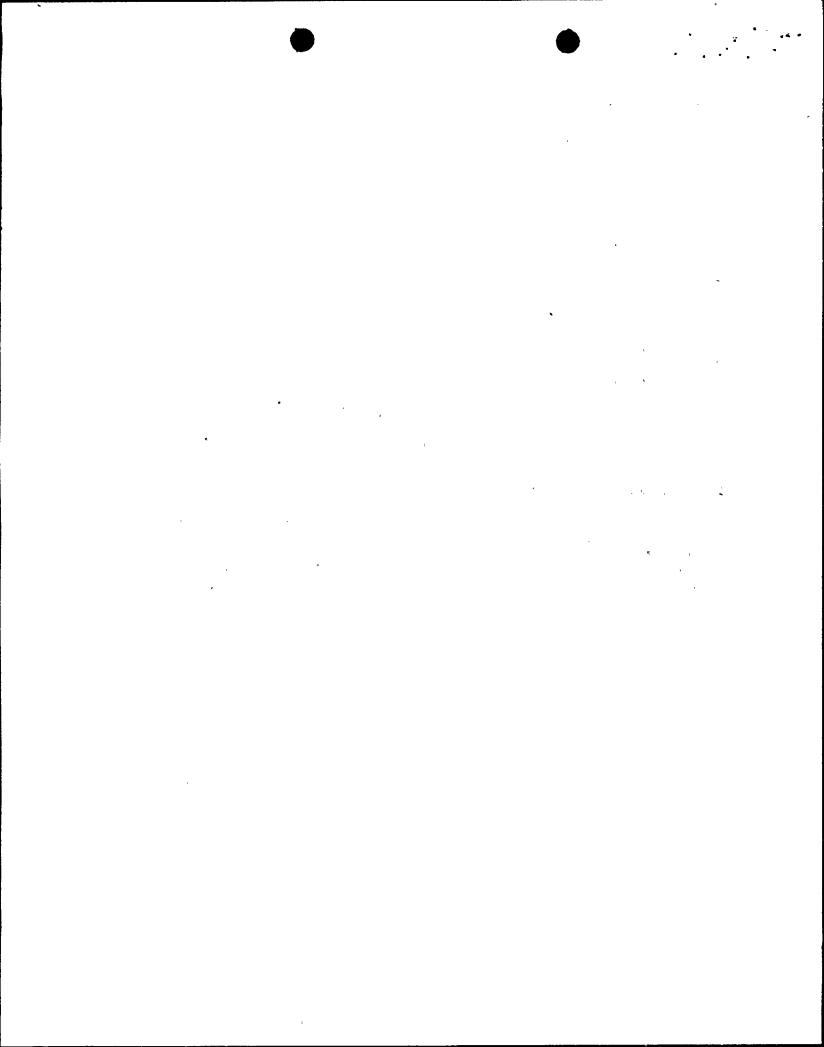
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bjw Enclosure

cc: W. J. Hall

A. J. Hendron



# NATHAN M. NEWMARK CONSULTING ENGINEERING SERVICES

1114 CIVIL ENGINEERING BUILDING URBANA, ILLINOIS 61601

REPORT TO AEC REGULATORY STAFF

ADEQUACY OF THE STRUCTURAL CRITERIA FOR

THE DIABLO CANYON SITE NUCLEAR UNIT 2.

Pacific Gas and Electric Company

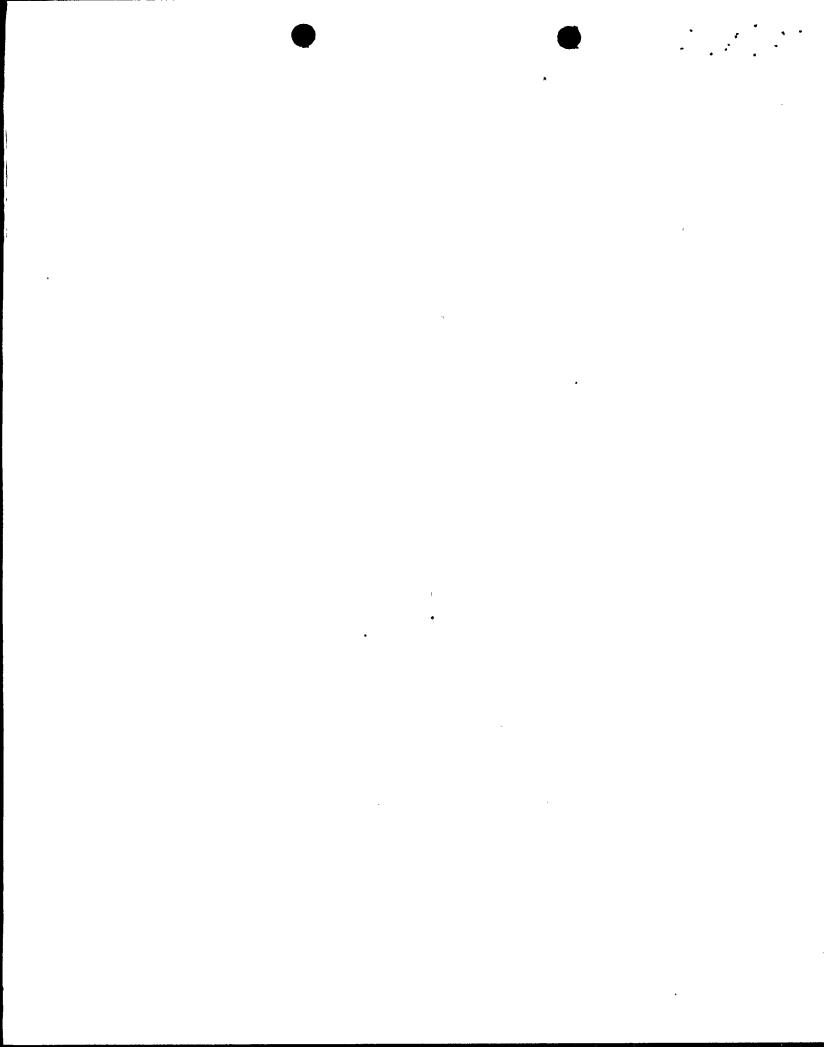
(AEC Docket 50-323)

by

N. M. Newmark

W. J. Hall

A. J. Hendron, Jr.



# ADEQUACY OF THE STRUCTURAL CRITERIA FOR THE DIABLO CANYON SITE NUCLEAR UNIT 2

by

N. M. Newmark, W. J. Hall, A. J. Hendron, Jr.

## INTRODUCTION

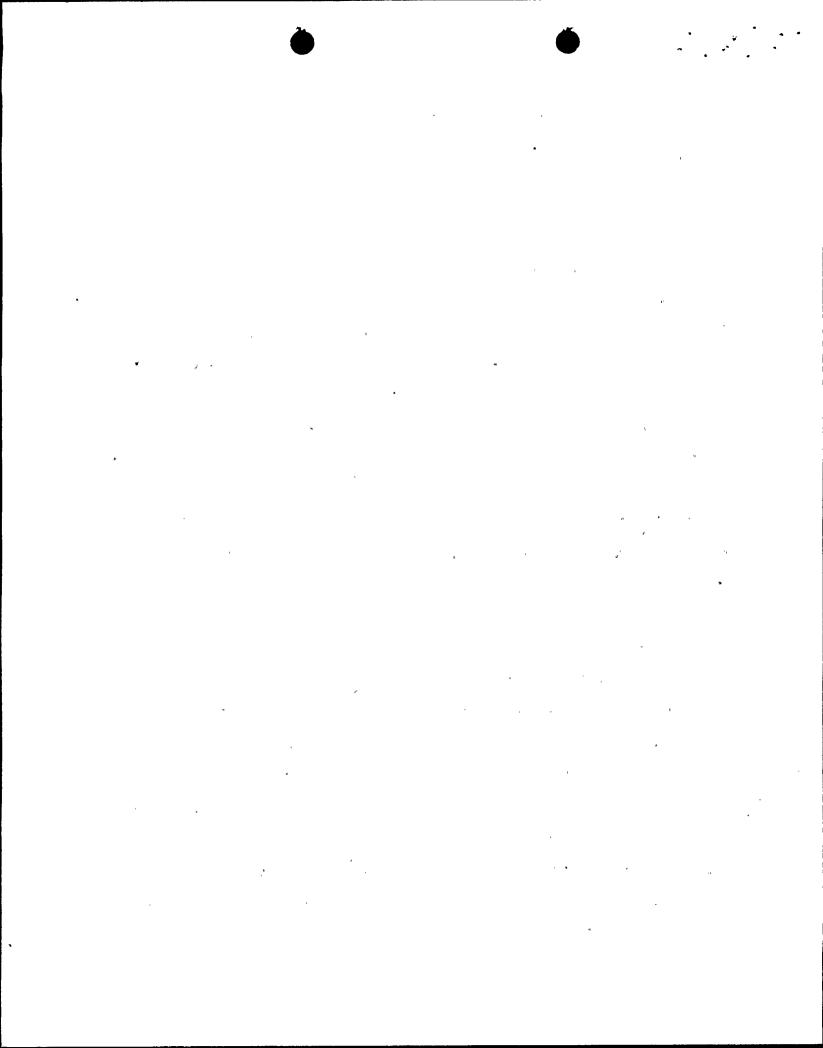
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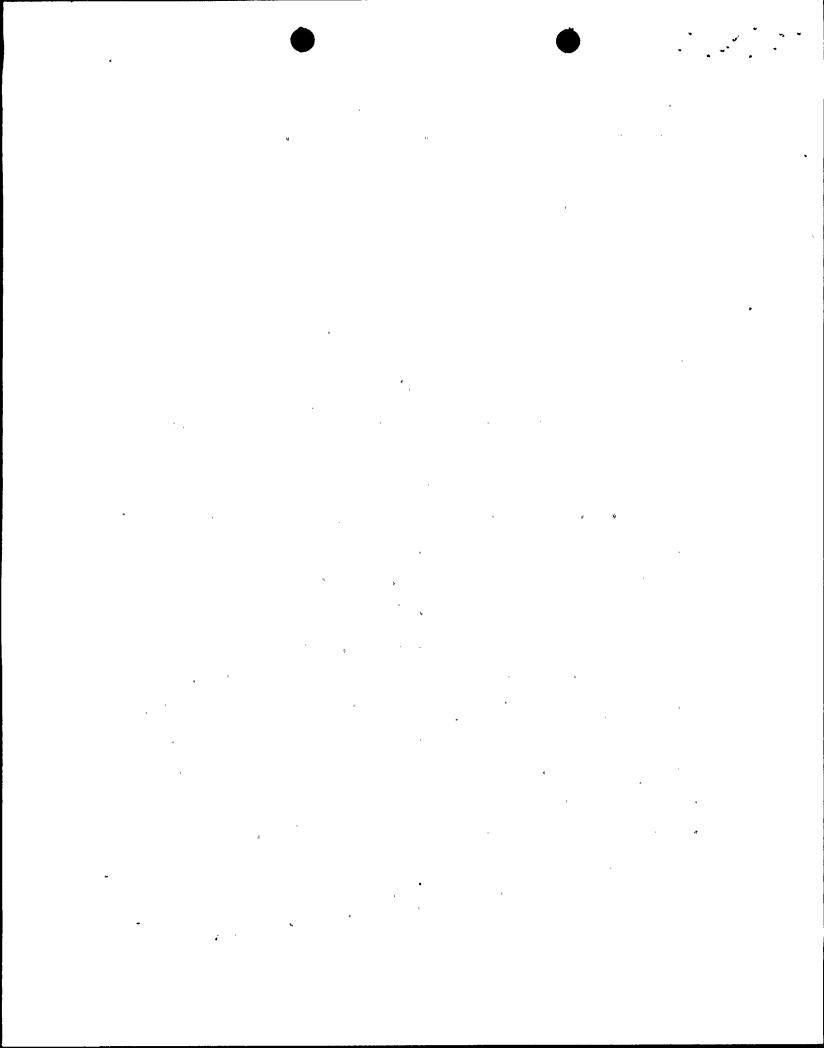
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## CONCLUDING COMMENTS

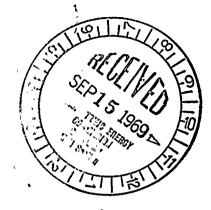
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# REFERENCES

- 1. 'Adequacy of the Structural Criteria for the Diablo Canyon Site Nuclear Plant, Pacific Gas and Electric Company," AEC Docket 50-275, Report to AEC Regulatory Staff, prepared by N. M. Newmark and W. J. Hall, December 1967.
- iPreliminary Safety Analysis Report, Volumes 1, 2, and 3," Nuclear Unit 2, Diablo Canyon Site, Pacific Gas and Electric Company, 1968.



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JUL 18 1969

Distribution: Docket -DRL Reading RPB-5 Reading R. S. Boyd S. M. Kari W. Haass

P. Howe

Docket No. 50-323

Dr. Nathan M. Newmark 1114 Civil Engineering Building University of Illinois Urbana, Illinois 61801

Dear Dr. Newmark:

This supplements our previous correspondence to you concerning the proposed Diablo Canyon Unit 2 nuclear reactor. Two copies of Amendment No. 4, dated July 10, 1969, to the application submitted by Pacific Gas and Electric Company are enclosed for your use. This amendment consists of revised pages for incorporation in the Preliminary Safety Analysis Report.

Sincerely yours,

Original signed by Walter Butler

( And ) Roger S. Boyd, Assistant Director for Reactor Projects Division of Reactor Licensing

Enclosures: Amendment No. 4, dated July 10, 1969, Diablo Canyon Unit 2

Similar Letter to: White, Waldron

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Dr. Nathan M. Newmark 1114 Civil Engineering Building University of Illinois Urbana, Illinois 61801

Dear Dr. Newmark:

This supplements our previous letters to you concerning the proposed Diablo Canyon Nuclear Power Plant, Unit No. 2.

Two copies of Amendment No. 1, dated May 12, 1969, to the application submitted by Pacific Gas and Electric Company are enclosed for your use. This amendment transmits revised pages to be inserted in the Preliminary Safety Analysis Report.

Sincerely yours.

Original Signed by Donald F. Knuth

Roger S. Boyd, Assistant Director for Reactor Projects Division of Reactor Licensing

Enclosure: As stated above

> Identical letters to: White (four copies) Schneider Waldron Snoke Pack Murphy

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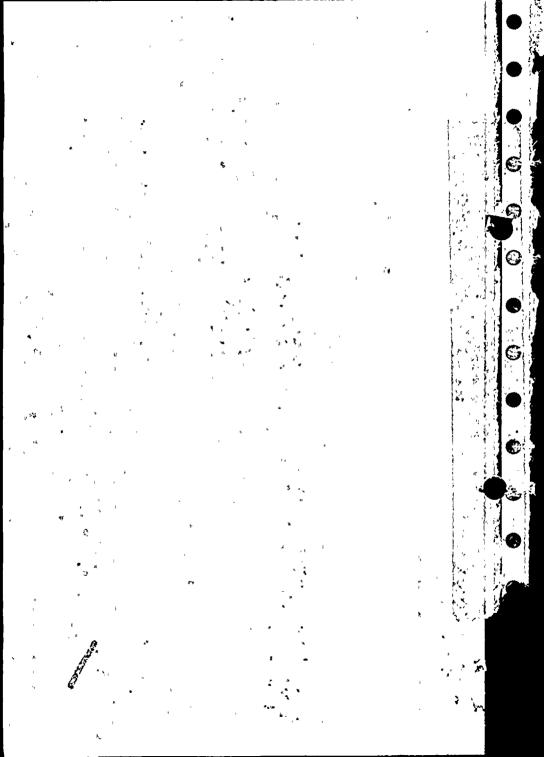
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NATHAN M. NEWMARK CONSULTING ENGINEERING SERVICES

1114 CIVIL ENGINEERING BUILDING URBANA, ILLINOIS 61801

6 November 1968

Dr. Peter A. Morris, Director Division of Reactor Licensing U. S. Atomic Energy Commission Washington, D.C. 20545

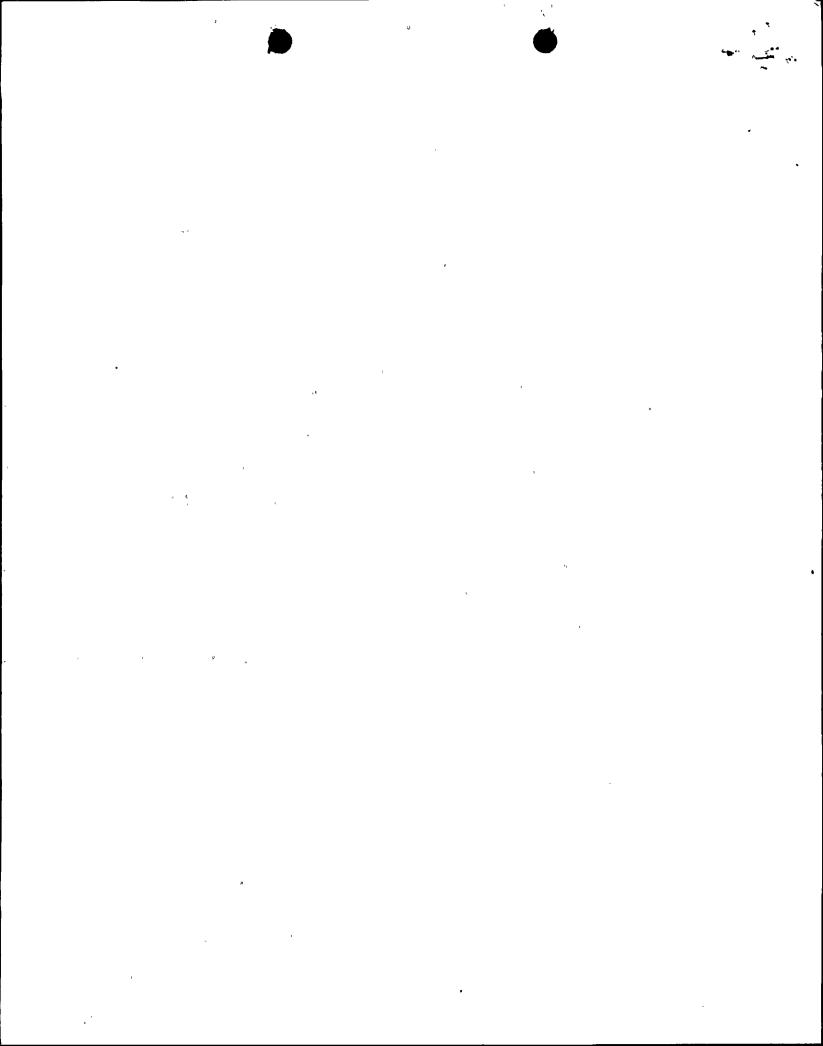
> Re: Contract No. AT(49-5)-2667 Nuclear Unit 2, Diablo Canyon Site Pacific Gas and Electric Company AEC Docket 50-323

Dear Dr. Morris:

Dr. W. J. Hall, Dr. A. J. Hendron and I have reviewed the PSAR for Diablo Canyon Site Nuclear Unit 2. In general the design described therein appears to be essentially identical to that for Diablo Canyon Site Unit No. I We note that in many places the applicant has updated the PSAR to reflect the information developed in the review of Diablo Canyon Unit No. I, although not in all places. For the most part we are not asking questions about those items which we believe we can interpret in the light of the discussion that ensued as a part of the application for Diablo Canyon Unit No. 1, although we are requesting clarification on one or two points that fall in this category.

Our questions and comments follow.

- With reference to the foundation design of Diablo Canyon Units 1 and 2, the following information is requested:
- (a) A plan view delineating the proposed slopes behind and/or to the sides of reactor units 1 and 2.
  - (b) Profiles through each slope surface.
- 2. It is noted that Class II items taken to mean structures and equipment) are to be designed using a static seismic horizontal coefficient of



0.20 with allowable stresses in accordance with applicable code requirements. The applicant is requested to clarify whether this is the static force for which the design of Class II structures is to be made, in accordance with the working stresses and force distributions in the Uniform Building Code. If this is not what is meant, we should like a description of the procedures planned to be used for the design of Class II structures.

- 3. Appendix D of the PSAR contains two reports relating to the seismic design of the plant, one dated June 24, 1968, which pertains to Diablo Canyon Unit No. 2, and a report dated January 12, 1967, which evidently dealt with the original Diablo Canyon Unit No. 1 PSAR. Comparison of these two reports indicates that the spectra presented are slightly different. For example, a comparison of the spectra presented in Plate 1 of both reports, which is for Earthquake D, shows that these are not the same. We find no comment in the reports to indicate why there has been a change in the spectra. Clarification of this point is requested, indicating which is to be used for what purposes.
- , 4. The design of the large penetrations is discussed in Section 5 of the PSAR. It is indicated on page 5-39 that the load transfer across the large openings will be achieved by the use of a structural steel ring to which reinforcing bars are welded. The detail provided in the PSAR is not sufficient to evaluate fully the proposed design concept, and the applicant is requested to describe in more detail the nature of the proposed design for the large penetrations.
- 5. The Class I design procedures presented on page 2-28 of the PSAR and elsewhere indicate that "all modes having a period greater than 0.08 sec. will be included in the analysis." and that in no case will less than 3 modes be considered. This matter was discussed in connection with the Diablo Canyon

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Unit 1 application, but we should like confirmation from the applicant of our inference that for multiple-degree-of-freedom systems all significant modes will be considered irrespective of whether they fall above or below a period of 0.08 sec.

6. We note with interest and gratification the new section, Appendix 3-C "Seismic Effects on the Reactor," and 4-C, "Support Structures...," which are most helpful in explaining the applicant's intentions and procedures.

Respectfully submitted,

N. M. Newmark

bjw

cc: W. J. Hall

A. J. Hendron, Jr.

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U.S.ATOMIC ENERGY COMM. REGIL ATENT MAIL & RECORDS SECTION Docket No. 50-323

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Dr. Nathan M. Newmark 1114 Civil Engineering Building University of Illinois Urbana, Illinois 61801

RE: Contract No. AT(49-5)-2667

Dear Dr. Newmark:

Nothan M. Newmark Consulting Engineering Services is hereby requested, pursuant to the conditions of Contract No. AT(49-5)-2667, to provide the following services for the Commission concerning the proposed construction of the Diablo Canyon Unit 2 nuclear power unit by the Pacific Gas and Electric Company on its Diablo Canyon site in San Luis' Obispo County, California:

- 1. Analysis of the engineering factors included in the proposed design to minimize damage from seismicallyinduced ground actions.
- 2. Preparation of a report on (1) above
- Serving as an expert vitness in the public hearing on this facility.

Monetary ceiling: \$5,000

Period of performance:

October 10, 1968 through June 30, 1969 Reporting Requirements: All costs shall be itemized and requests

for reimbursement shall be submitted pur-

suant to Article III of Contract No.

AT(49-5)-2667

Other applicable requirements: None

This constitutes a Work Directive pursuant to Article I, paragraph (b) of Contract No. AT(49-5)-2667.

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A copy of Volumes I, II, and III of the Preliminary Safety Analysis Report is enclosed for your review.

Sincerely yours,

Original Signed by Peter A. Clorris:

Poter A. Morris, Director
Division of Reactor Licensing
(Authorized Representative for the
Contracting Officer)

Enclosures: Volumes I, II, and III of PSAR for Diablo Canyon Unit 2

bcc: S. A. Teets, DR R. Hart, DC H. Jerdan, OC OGC

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R. S. Boyd

(see attached yellow sheet for concurrences)

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for Peter A. Morris, Director
Division of Reactor Licensing
(Authorized Representative for the
Contracting Officer)

Enclosures:
Volumes I, II, and III of PSAR
for Diablo Canyon Unit 2

bcc:

S. A. Teets, REG

R. Hart, DC

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Docket No. 50-323

Dr. Nathan M. Newmark 1114 Civil Engineering Building University of Illinois Urbana, Illinois 61801

RE: Contract No. AT (49-5) -2667.

Dear Dr. Newmark:

Nathan M. Newmark Consulting Engineering Services is hereby requested, pursuant to the conditions of Contract No. AT (49-5)-2667, to provide the following services for the Commission concerning the proposed construction of the Diablo Canyon Unit 2 nuclear power unit by the Pacific Gas and Electric Company on its Diablo Canyon site in San Luis Obispo County, California:

- 1. Analysis of the engineering factors included in the proposed design to minimize damage from seismically-induced ground actions.
- 2. Preparation of a report on (1) above
- 3. Serving as an expert witness in the public hearing on this facility.

Monetary ceiling: \$5,000

Period of performance:

through June 30, 1969

Reporting Requirements: All costs shall be itemized and requests

for reimbursement shall be submitted pur-

suant to Article III of Contract No.

AT (49-5) -2667

Other applicable requirements: None

This constitutes a Work Directive pursuant to Article I, paragraph (b) of Contract No. AT (49-5)-2667.

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U.S. DEPARTMENT OF COMMERCE Environmental Science Services Administration COAST AND GEODETIC SURVEY Rockville, Md. 20852

# JUN 12 1970

Reply to Attn of: C23

Mr. Harold L. Price Director of Regulation U. S. Atomic Energy Commission Washington, D. C. 20545

Dear Mr. Price:

In accordance with your request, we are forwarding the supplement to our report on the seismicity of the Diablo Canyon, California, area. The Coast and Geodetic Survey has reviewed and evaluated the recent geological and seismological information concerning the region around the Diablo Canyon Nuclear Station, Unit 2, and we are submitting our conclusions as to its significance.

Sincerely,

Don A. Jones
Rear Admiral, USESSA
Director, C&GS

Enclosure

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Asial Section

Supplement to the Seismic Evaluation of the Diablo Canyon Nuclear Power Plant Unit #2, AEC Docket 50-323

This supplement is in response to the request of the Atomic Energy Commission to evaluate the significance of some recent geological and seismological information concerning the region around the Pacific Gas and Electric Company's Diablo Canyon Nuclear Station, Unit 2. Reference has been made by an intervener to two features that have come to light since the completion of the geologic and seismologic evaluation of the site. The first feature consists of a number of moderate earthquakes that have occurred in the offshore region southwest of the Diablo Canyon site that appear to be defining a northeast trending seismic zone. The second feature referred to is the Edna Fault Zone which is located north and east of the site. The Coast and Geodetic Survey has reviewed the data on file, conferred with Geological Survey geologists and marine geophysicists, and has considered the possible effect of these two features on the seismic evaluation of the Diablo Canyon Nuclear Station, Unit 2.

### Offshore Earthquakes

Since June 12, 1969, the Coast and Geodetic Survey has routinely located 25 earthquakes in this offshore region. Their magnitudes range from 3.7 to 5.9 and the

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and the specifier of the property of the party of the to and advantage of the faction for any more than the man are was a serious to be a light of the first of the first factors. rolating of a long on grant of a hooting of a gallery and therefore Grey meth County Charte Mosters (Greeth, United Reinsel ១៧១១ ថ្ងៃ១ ខាងស្រា ១៩ - មិន ១៣ ការប្រទេខពេល១២ ថ្ងៃ នៅខាត្រីបញ្ជាន់ ទី២០៩០ ార్జు కారాజు కాంట్ క్లు జార్కింద్ కుండి కాండ్ అండే ఇంటి కాంటేకు కార్డ్ కాయి. అయిన కూడాక THE HOLE STANKE OF THE BOLD IN COME TO BE A STANKED OF THE ್ ಸ್ಟ್ ಎರ್.ಆ ನಿರ್ದೇಶಕ ಕರೆ ಜಾಗಿಗಳ ಸಾಧರುಗಳ ೧೯ ಸರೇಗಳು ಅಗಳು ದಾರುವಿ reid i drivikam miligra politic večt mi biskam se trit ed it did notes that there is no contained a side Deute om eurit, de l'abson e literation d'artis de la faction de la company de la comp केर इस क्रिए रेनेश्वर भारती है अब १३७१ है। इस केरेने अन्यों रेनेर बर्ना To be by a literary outed to how that the your least of and a morning take their team by a power for a fight in a sight our and in continuous of their activities to a period a first really at the safety to solve the following the solve of the solve o with best of the elite three Holeen Think at Unit 9. The second secon

र्यात्रक सम्माव स्थार कार्योत्र क्षेत्र स्थार मार्थ विकासकरित क्षेत्र स्थार र र जन्मक्षेत्रक प्रशासन कार्योत्र मार्थिक स्थार स्थार स्थार स्थार स्थार स्थार स्थान स्थारक सम्मायन र साथिक स्थार locations are computed from readings of 6 to 131 seismic stations. While the depths of these events are difficult to determine accurately, it is believed that none are greater than 25-33 km and some are as shallow as 10 km. Their distances from the Diablo Canyon site range southwestwardly and approximately 20 miles to 120 miles. The attached table gives the location, depth, magnitude, and the number of stations reporting for each earthquake.

In the past, two other earthquakes, including the 1927 magnitude 7.3 event, have occurred within the area described by the recent events. In addition, the historical record shows that 10 additional earthquakes with magnitudes less than 5, have occurred in a 120 mile alignment near the shoreline and trend in a general NW-SE direction with the closest approach about 15 miles from the Diablo Canyon site. This alignment includes one of the 1969 events.

The conclusion of the Geological Survey's report on this subject states that "The major structural patterns of offshore and onshore geology consist of NW-SE trending parallel belts of folds, faults, and basement rock highs." This report further states that "There is no geologic evidence to support a northeastward structural trend in the offshore area of earthquake epicenters."

Although an examination of the alignment of the recent offshore seismic activity suggests an apparent NE-SW lineation of the earthquake locations, there is no basis for concluding that they delineate a NE-SW trending fault in view of the geologic structural information presented by the Geological Survey. On the contrary it is logical to conclude that they are associated with the established NW trending structural features because the above mentioned 25 earthquakes may be aligned with them, thereby substantiating sources for the origins of these earthquakes.

Since there is no geological structure trending in a NE direction from the source of the 1927 earthquake, there is no basis for concluding that a 1927 offshore type earthquake could occur near the plant site.

#### Edna Fault Zone

A review of the seismicity of the onshore area around the Diablo Canyon site by the Coast and Geodetic Survey indicates that this particular region of California is devoid of activity as reported in historical files or as instrumentally determined by close-in seismic stations. Therefore, there is no apparent correlation of seismic events with the Edna Fault Zone.

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It is the conclusion of the Coast and Geodetic Survey that the offshore earthquake activity and Edna Fault Zone do not have a significant bearing on the earthquake potential for this site because they present a hazard much less than that already considered in the evaluation of the site.

U. S. Coast and Geodetic Survey Rockville, Maryland 20852

June 11, 1970

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## PRELIMINARY DETERMINATION OF EPICENTERS

DAY GMT	ORIGIN TIME GMT HR MN SEC	GEOGR. COORD: LAT	APHIC INATES LONG	DEPTH KM.	MAGNITUDE	NO. STA
1969						
JUNE						
12	11 18 53.2	34.2 N	121.0 W	22	4.3	6
SEPT.						
6	13 44 46.1	35.2 N	121.1 W	13	4.0	22
OCT.						
22	17 34 13.2	34.6 N	121.7 W	15G	4.7	14
22	22 51 33.5	34.8 N	121.3 W	15G	5.9	131
23	00 03 34.4	34.9 N	121.3 W	10	5.0	28
23	02 05 47.2	34.9 N	121.3 W	10	3.9	16
23	03 43 14.9	34.8 N	121.5 W	10	4.0	15
23	04 33 22.8	34.6 N	121.6 W	16	4.2	10
23	06 41 21.0	34.6 n	121.6 W	15G	4.0	12
23	07 19 02.9	34.5 N	121.6 W	15G	4.0	10
23	16 38 03.0	34.6 N	121.5 W	10	4.6	16
24	13 12 09.7	34.8 n	121.3 W	15G	4.6	15
24	19 13 07.4	34.8 N	121.4 W	15G	3.7	14
28	00 22 33.7	34.3 N	121.9 W	26	4.5	22
30	15 36 19.1	34.2 N	120.8 W	10	4.2	10
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G - Depth held by Geophysicists

N - Normal depth - 25 km

15 -9 ATOMIC ENERGY COMMISSION Regulatory Mail Section

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June 4, 1969

Docket No: 50-323

Distribution:
Docket

DRL Reading
RPB-5 Reading
R. S. Boyd
S. M. Kari
P. Howe
A. W. Dromerick
W. Haass

Mr. Howard H. Waldron U. S. Geological Survey Engineering Geology Branch Denver Federal Center Denver, Colorado 80225

Dear Mr. Waldron:

This supplements our previous letters to you concerning the proposed Diablo Canyon Nuclear Power Plant, Unit No. 2.

A copy of Amendment No. 2, dated May 28, 1969, to the application submitted by Pacific Gas and Electric Company is enclosed for your use. This amendment transmits revised pages to be inserted in the Preliminary Safety Analysis Report.

Sincerely yours,

Original Signed by Donald F. Knuth

Roger S. Boyd, Assistant Director for Reactor Projects Division of Reactor Licensing

Enclosure:
As stated above

Identical letters to:
White (F&WS) - 4 copies
Newmark - 2 copies

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